



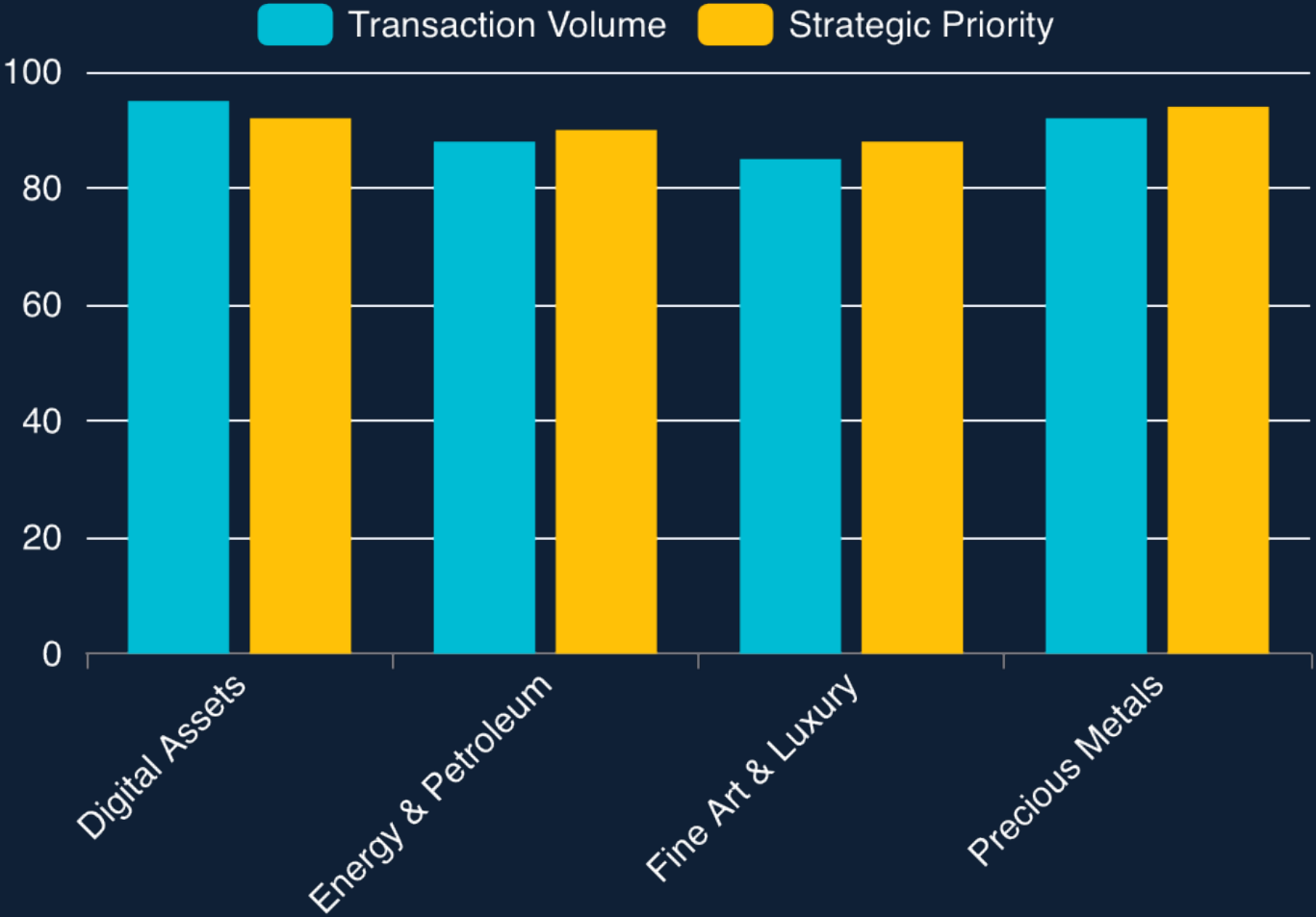
# ALPHA CAPITAL ONE LLC

CONFIDENTIAL • INSTITUTIONAL • PRIVATE

KYR

# Our Focus — Four Core Verticals

### Service Vertical Coverage



## Core Verticals

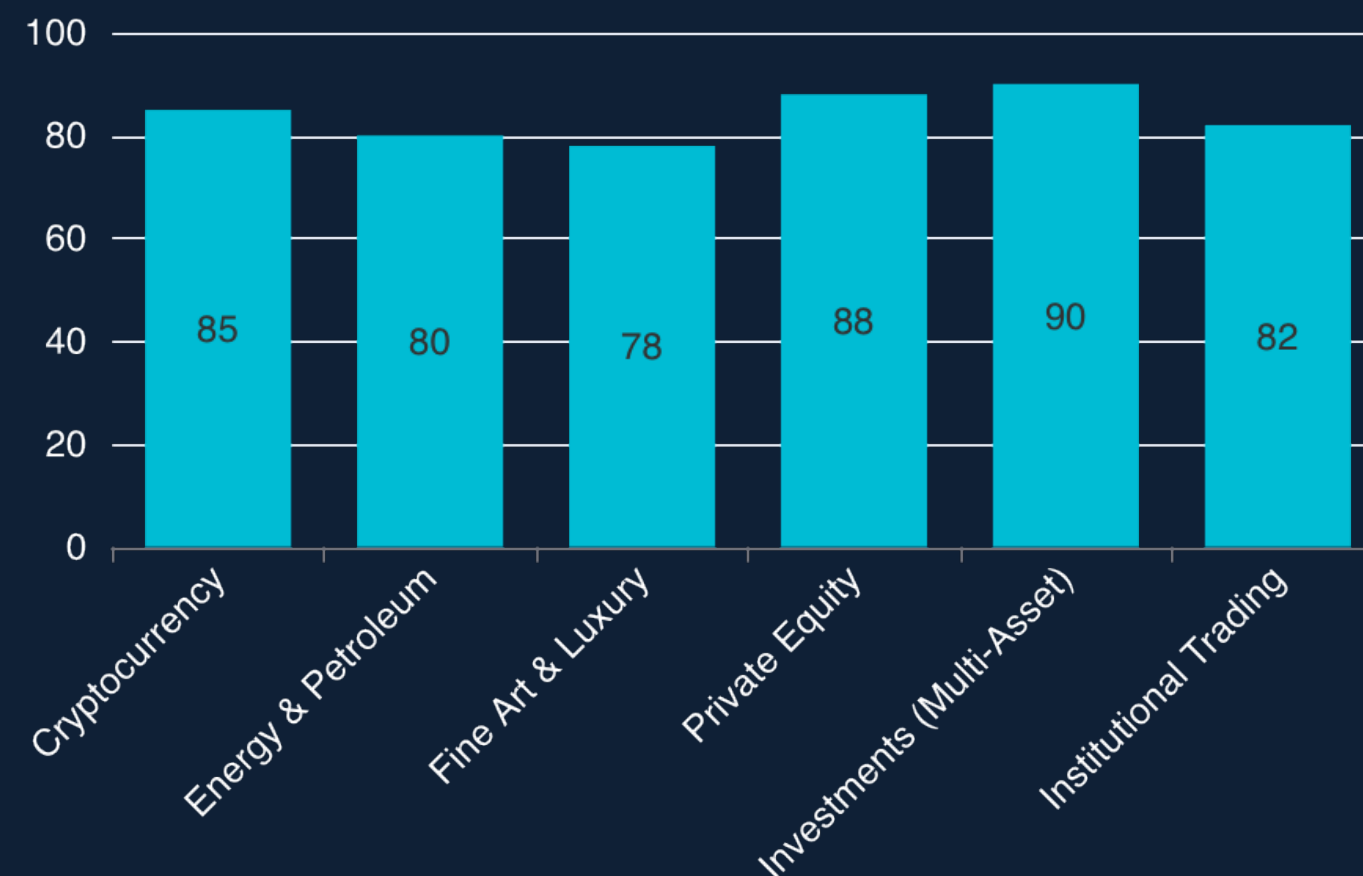
Digital Assets: Comprehensive acquisition, sale, custody. Precious Metals: Private gold and silver sales and purchasing with LBMA standards. Energy & Petroleum: Full-service transaction support. Fine Art & Luxury: Curated access with authentication.

# What We Offer — Capabilities

## Nine Service Lines

Licensed brokerage delivering proprietary execution across cryptocurrency, precious metals (gold and silver), energy, fine art, private equity, multi-asset investments, institutional trading, Non-QM mortgage portfolios, and private real estate. Each service backed by rigorous due diligence and forensic analysis.

### Service Portfolio Distribution



# What We Offer — Capabilities

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### Non-QM Mortgage Portfolios

U.S. Non-QM residential mortgage focus: review, acquire, and facilitate whole-loan portfolio transactions at the institutional level. Provide portfolio underwriting, credit and collateral review, and support exits with regulated banks, originators, servicers, and institutional buyers. B2B only—no consumer origination or retail servicing.



### Private Real Estate Investment

Concentrated on multifamily and hospitality assets. Evaluate and support acquisitions and capital structuring for large, diversified property portfolios with qualified operators, lenders, family offices, and institutional partners. Conducted privately and not offered to the general public.

# Precious Metals Trading & Custody



## Gold & Silver Sales

Private sales and purchasing of physical gold and silver bullion. LBMA-affiliated refineries with strict chain of custody. 12.5 kg gold bars, doré sales, and silver bullion transactions with institutional counterparties.



## Settlement & Custody

CIF Assay-&-Pay settlement structure with title passing at independent assay. Vault-to-vault direct delivery options. Bank-to-bank settlement with attorney escrow services through top-tier law firms.

# Compliance & Intelligence

## Transaction Analysis

On-chain flow verification, blockchain confirmation, asset movement tracking.

## Risk Screening

Counterparty verification, sanctions screening, compliance alignment.

# Transaction Process — Four Stages

## 1. Introduction

Verify parties and principal

## 2. Compliance

Forensic review and risk screening

## 3. Documentation

Structure terms and agreements

## 4. Execution

Deploy capital and settle

We verify all parties, conduct rigorous compliance review, structure precise transaction terms, and execute with full institutional controls and professional settlement coordination.

# Who We Work With



## Family Offices

Private investment with multi-generational wealth and confidential execution.



## Investment Companies

Institutional investors with verified holdings across digital assets, energy, fine art.



## Licensed Professionals

Regulated institutions, law firms, compliance officers with multi-jurisdictional expertise.

# Jurisdictions — Global Reach

We strategically operate across five primary financial jurisdictions with regulatory clarity, market depth, and institutional infrastructure: United States, UAE, Hong Kong, Switzerland, United Kingdom.

Jurisdiction	Market Strength	Regulatory Clarity	Transaction Volume	Strategic Importance
United States	Advanced Financial Markets	Robust Regulatory Framework	Very High	Primary Market
United Arab Emirates	Growing Crypto & Energy Hub	Forward-Looking Regulations	High	Emerging Primary
Hong Kong	Asia-Pacific Financial Center	Established Regulatory Standards	High	Regional Hub
Switzerland	Private Banking & Wealth	Stringent but Clear	High	Specialist Niche
United Kingdom	Global Financial Center	Established & Comprehensive	High	Secondary Market

# Lux Market™ — Exclusive Platform



## Curated Listings

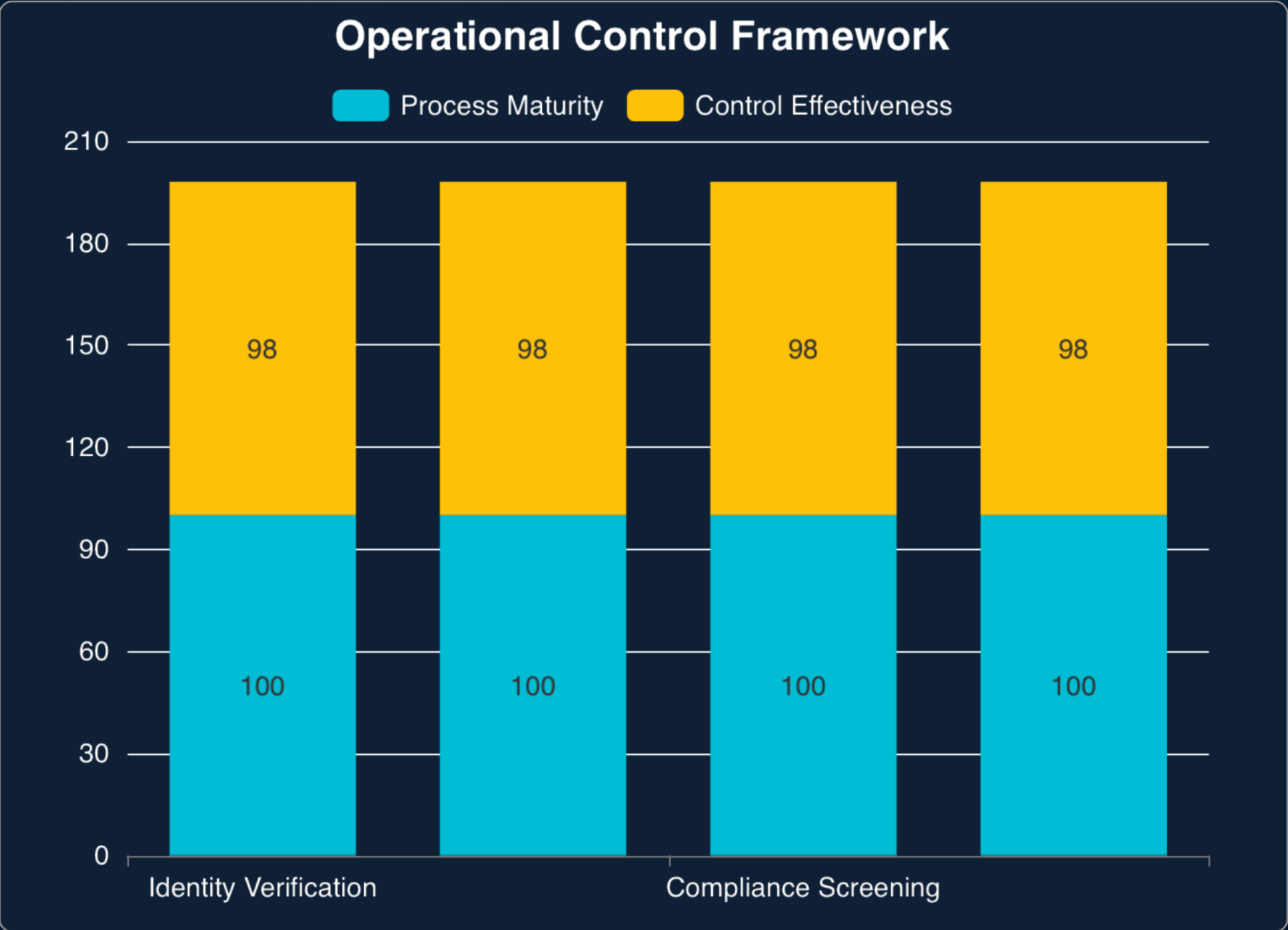
Invite-only opportunities sourced from verified principals; each listing is screened for authenticity, provenance, and transaction readiness



## Secure Workflows

Professional escrow, settlement coordination, buyer confidentiality.

# Confidentiality & Operating Standards



## Operating Standards

Confidential, access-controlled platform with embedded process discipline. Rigorous verification, documentation standards, and professional settlement coordination across all transactions.

# Corporate Identity

Alpha Capital One LLC | Licensed & Bonded Brokerage Asset Manager | Kansas City, Missouri (2300 Main St, 9th Floor) | [alphacapone.com](http://alphacapone.com) | Global presence across 5 primary jurisdictions | Principal-grade standards embedded in all operations

# Operating Authority & Compliance

## Licensed & Bonded

Brokerage asset manager. Principal operations only. OTC cryptocurrency desk with direct market access and autonomous settlement authority.

## Full Compliance

Regulatory authority. Direct capital deployment. Securities law, financial regulations, AML standards compliance.



# Ready to Engage

Contact Alpha Capital One LLC — [alphacapone.com](http://alphacapone.com)



# Alpha Capital One LLC KYB Document

Confidential Business Profile — Licensed & Bonded Brokerage Asset Manager

February 2026

# Company Overview & Authorized Signatories

Principal-operated brokerage executing proprietary trades across 9 verticals: Digital Assets (OTC crypto desk), Precious Metals (private gold and silver sales/purchasing), Energy & Petroleum (crude, refined fuels), Fine Art & Luxury (Lux Market), Private Equity, Multi-Asset Investments, Institutional Trading, Non-QM Mortgage Portfolios (B2B whole-loan transactions), Private Real Estate (multifamily and hospitality assets).

Field	Details	
Legal Entity	Alpha Capital One LLC	
Operating Brand	Alpha Capital One LLC	
Type	Licensed Brokerage Asset Manager	
Address	2300 Main St, Kansas City, MO 64108	
Website	alphacapone.com	
Signatory	Title	Authority
Riley Dial	Managing Member	Full transaction authority
Jameson Smith	Operations Director	Full transaction authority

# Business Model & Service Lines

Principal-operated brokerage executing proprietary trades across 9 verticals: Digital Assets (OTC crypto desk), Precious Metals (private gold and silver sales/purchasing), Energy & Petroleum (crude, refined fuels), Fine Art & Luxury (Lux Market), Private Equity, Multi-Asset Investments, Institutional Trading, Non-QM Mortgage Portfolios (B2B whole-loan transactions), Private Real Estate (multifamily and hospitality assets).

**9**

**Service Lines**

9 Service Lines

**8**

**Jurisdictions**

8 Jurisdictions

**100%**

**Principal Ops**

100% Principal Ops

**Tier-1**

**Compliance**

Tier-1 Compliance

# Business Activity — Non-QM Loan Acquisitions

## Company Name

Alpha Capital One LLC

## Business Description

Alpha Capital One LLC publicly represents that it is engaged in the acquisition of performing residential Non-QM loan portfolios through a confidential transaction process. The company states that it works with lenders, originators, portfolio managers, note holders, capital partners, and private portfolio sellers seeking a credible and efficient buyer.

## Scope of Activity

The company's stated scope of activity includes performing residential Non-QM portfolio acquisitions, loan tape review, borrower and collateral analysis, underwriting, diligence review, transaction structuring, and closing coordination. Its website outlines a four-step transaction process consisting of initial review, underwriting, transaction structuring, and closing.

## Operational Positioning

Alpha Capital One LLC positions this line of business as a disciplined and confidentiality-focused acquisition platform for qualified counterparties seeking liquidity and portfolio execution solutions. The page further indicates that access is restricted and monitored, with visitor verification required prior to entry, reflecting a controlled and private business development approach.

## KYB Note

For KYB purposes, it should be noted that a footer disclaimer on the page appears to reference precious metals content rather than Non-QM loan acquisitions. This inconsistency should be corrected or clarified before the website is relied upon as a formal supporting business reference.

# Banking & Financial Infrastructure

Tier-1 banking relationships across primary jurisdictions with full KYC/AML compliance.

Institution	Location	Account Type	Primary Use
HSBC Bank USA, N.A.	New York, NY	Checking & Savings	USD operating accounts
JPMorgan Chase Bank	New York, NY	Private Client Account	High-value settlements
Bank of America	United States	Commercial Account	Domestic ACH transfers
Cayman National Bank Ltd.	Grand Cayman	International Account	Offshore multi-currency
Hamilton Reserve Bank	Nevis, St. Kitts & Nevis	International Account	Caribbean operations
Sovereign Bank International Inc.	St. Thomas Parish, Nevis, West Indies	International Account	Caribbean banking operations

# Compliance Framework & Global Operations

Licensed brokerage with full regulatory authority. KYC/AML protocols, transaction controls, data security. Operating across US (primary), UAE, Hong Kong, Switzerland, UK, Nevis, Cook Islands, and Cayman Islands.

Jurisdiction	Primary Activities
United States (primary)	Licensed brokerage, KYC/AML, transaction controls
UAE	Brokerage operations and compliance oversight
Hong Kong	Regional trading and custody services
Switzerland	Wealth management support
United Kingdom	Market access and settlement services
Nevis	Caribbean banking and offshore operations
Cook Islands	Pacific offshore services and asset protection
Cayman Islands	International banking and multi-currency operations

A background image showing two hands shaking in a firm grip, with the hands belonging to people wearing business suits. The image is overlaid with a semi-transparent blue filter. The text is centered over the handshake.

# Transaction Framework & Requirements

4-Stage Process: Verify parties → Forensic compliance → Structure terms → Execute settlement. Required: Government ID, proof of address, beneficial ownership, source of funds, bank verification.

# NCHS Ltd — Affiliated Trading Desk

NCHS Ltd operates as an affiliated trading desk, coordinating select principal trades and market operations in partnership with Alpha Capital One. For affiliation details and desk information, please visit [nchsltd.com](http://nchsltd.com).

## Counterparty Verification

Conduct comprehensive KYC and AML screening for all counterparties, confirm authorized signatories, and validate regulatory standing prior to trade execution.

## Documentation

Maintain executed agreements, trade confirmations, and supporting compliance documents. Ensure all paperwork is complete, countersigned, and archived according to internal retention policies.

## Settlement

Coordinate settlement timelines and channels, confirm receipt of funds or securities, and reconcile post-settlement discrepancies promptly through designated operations contacts.

# Transaction Process & Settlement Procedures

## Four-Stage Principal Transaction Framework

Nexus Capital Holdings & Securities Ltd operates as Alpha Capital One LLC's specialized principal trading desk for off-market transactions across premium asset classes with institutional-grade settlement.

Service Line	Offerings	Settlement Method
Counterparty Verification	KYC/AML screening, identity verification, beneficial ownership disclosure, institutional credentials check.	
Documentation	Standard Operating Procedures, attorney escrow agreements, wire instructions, settlement protocols.	
Settlement		Bank-to-bank transfers, attorney escrow services, direct signatories only, institutional-grade custody.

# NCHS Ltd — Affiliated Trading Desk

Nexus Capital Holdings & Securities Ltd operates as Alpha Capital One LLC's specialized principal trading desk for off-market transactions across premium asset classes including precious metals, energy commodities, and digital assets.

Service Line	Offerings	Settlement Method
Gold & Precious Metals	LBMA-affiliated 12.5 kg bars, doré sales	CIF Assay-&-Pay, vault-to-vault, attorney escrow
Oil & Refined Fuels	Crude oil, Jet A1, D2/D6, LNG, LPG	Bank-to-bank, verified allocations, performance-backed
Digital Assets	BTC block trades, USDT/USDC	Wallet-control verification, direct signatories
Infrastructure	AI data centers, utility-scale solar	Institutional partnerships, EPC contractors
Fine Art (Tier-1)	Investment-grade artworks	Provenance authentication, private settlement

# Lux Market™ — Exclusive Platform

## Private Luxury Marketplace

Lux Market is Alpha Capital One LLC's proprietary invite-only platform connecting verified principals for high-value asset transactions. Managed by authorized representative Riley Dial with operations coordinated from Helena, Montana.



### Asset Categories

Exotic vehicles, rare timepieces, authenticated fine art, luxury collectibles with provenance verification.



### Transaction Security

Professional escrow, buyer-seller confidentiality, identity screening, authentication protocols.



### Vetted Access

Invite-only platform, verified principals only, rigorous counterparty screening, compliance-first approach.

# Confidential Business Document

Alpha Capital One LLC — KYB Complete — For Authorized Counterparties Only

# Certification & Declaration

We, Riley Dial (Managing Member & Authorized Signatory) and Jameson Smith (Authorized Signatory & Operations Director) of Alpha Capital One LLC, certify that all information provided in this Know Your Business document is accurate, complete, and current as of February 2026.

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**Riley Dial**

Managing Member & Authorized Signatory

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**Jameson Smith**

Authorized Signatory & Operations Director